

#4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Peng Cho TANG et al.  
Title: INDOLINONE DERIVATIVES AS  
PROTEIN KINASE/PHOSPHATASE  
INHIBITORS  
Appl. No.: 09/871,700  
Filing Date: 06/04/2001  
Examiner: Unassigned  
Art Unit: 1614

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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

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Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

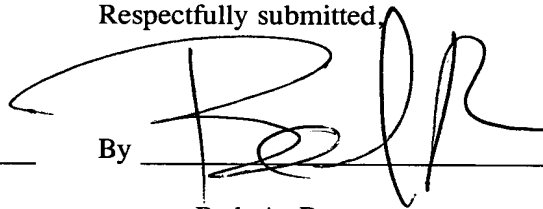
The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date

5/2/02

By



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Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 038602/1200		SERIAL NO. 09/871,700	
<b>INFORMATION DISCLOSURE CITATION</b>  <i>(Use several sheets if necessary)</i>				APPLICANT Peng Cho TANG et al.			
				FILING DATE June 4, 2001		GROUP ART UNIT 1614	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	A1	2968557	1/17/61	Burgandt et al.			
	A2	4002749	1/11/77	Rovnyak			
	A3	4053613	10/11/77	Rovnyak et al.			
	A4	4642309	2/10/87	Michel et al.			
	A5	4826847	5/2/89	Michel et al.			
	A6	5051417	9/24/91	Nadler et al.			
	A7	5124347	6/23/92	Connor et al.			
	A8	5196446	3/23/93	Levitzki et al.			
	A9	5302606	4/12/94	Spada et al.			
	A10	5322950	6/21/94	Sircar et al.			
	A11	5374652	12/20/94	Buzzetti et al.			
	A12	5382593	1/17/95	Le Baut et al.			
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	A14	5397787	3/14/95	Buzzetti et al.			
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	A16	5792783	8/11/98	Tang et al.			
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	A18	5849710	12/15/98	Battistini et al.			
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	A22	5886020	3/23/99	Tang et al.			
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### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	A24	91/13055	9/5/91	WO				
	A25	92/07830	5/14/92	WO				
	A26	92/20642	11/26/92	WO				
	A27	93/01182	1/21/93	WO				
	A28	94/14808	7/7/94	WO				
	A29	95/01349	1/12/95	WO				
	A30	95/17181	6/29/95	WO				
	A31	96/00226	1/4/96	WO				
	A32	96/16964	6/6/96	WO				
	A33	96/22976	8/1/95	WO				
	A34	96/32380	10/17/96	WO				
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				FILING DATE June 4, 2001		GROUP ART UNIT 1614	
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	A75	558894	3/9/93	Japan			
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<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							
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<b>INFORMATION DISCLOSURE CITATION</b>  (Use several sheets if necessary)				APPLICANT  Peng Cho TANG et al.			
				FILING DATE  June 4, 2001	GROUP ART UNIT  1614		
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				FILING DATE  June 4, 2001	GROUP ART UNIT  1614		
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		<b>ADDITIONAL FOREIGN PATENT DOCUMENTS AND REFERENCES</b>					
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<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			
<p>* <b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.</p>							